



# Data protection policy

Owner	Chief Operating Officer
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As at the 1 September 2022 the Data Protection Officer details are:

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## 1. Introduction

1. The Forum for the Future is committed to complying with privacy and data protection laws including:
  - the General Data Protection Regulation (“**the GDPR**”) and any related legislation which applies in the UK, including, without limitation, any legislation derived from the Data Protection Bill 2017;
  - the Privacy and Electronic Communications Regulations (2003) and any successor or related legislation, including without limitation, E-Privacy Regulation 2017/0003; and
  - all other applicable laws and regulations relating to the processing of personal data and privacy, including statutory instruments and, where applicable, the guidance and codes of practice issued by the Information Commissioner’s Office (“ICO”) or any other supervisory authority.

(together “the **Legislation**”)

2. This policy currently only takes into account UK legislation but applies across the organisation. In due course we will incorporate relevant legislation for India, Singapore and the US.
3. This policy sets out what we do to protect individuals’ personal data.
4. Anyone who handles personal data in any way on behalf of The Forum for the Future must ensure that we comply with this policy. Section 3 of this policy describes what comes within the definition of “personal data”. Any breach of this policy will be taken seriously and may result in disciplinary action or more serious sanctions.
5. This policy may be amended from time to time to reflect any changes in legislation, regulatory guidance or internal policy decisions.

## 2. About this policy

1. The types of personal data that we may handle include details of: all current employees and some future and past employees, as well as applicants for posts, agency workers, affiliates, consultants, contractors, interns, trustees, volunteers and other workers at Forum. All people registered on Salesforce or other personal contact lists such as donors, partner contacts, business development leads etc.
2. The Chief Operating Officer will generally be named as is The Data Protection Officer at The Forum for the Future and is responsible for ensuring compliance with the GDPR and with this policy. Any questions or concerns about this policy should be referred in the first instance to the Data Protection Officer whose current contact details will can be found on the cover page of this policy.

## 3. Definitions of data protection terms

1. The following terms will be used in this policy and are defined below.
2. **Data Subjects** include all living individuals about whom we hold personal data, for instance an employee or a supporter. A data subject need not be a UK national or resident. All data subjects have legal rights in relation to their personal data.
3. **Personal Data** means any information relating to a living person who can be identified directly or indirectly from that information (or from that information and other information in our possession). Personal data can be factual (such as a name, address, email address or date of birth) or it can be an opinion (such as a performance appraisal). It can also include an identifier such as an identification number, location data, an online identifier specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that person.
4. **Data Controllers** are the people who, or organisations which, decide the purposes and the means for which, any personal data is processed. They have a responsibility to process personal data in compliance with the Legislation. The Forum for the Future is the data controller of all personal data that we manage in connection with our work and activities.
5. **Data Processors** include any person who processes personal data on behalf of a data controller. Employees of data controllers are excluded from this definition, but it could include other organisations such as website hosts, fulfilment houses or other service providers which handle personal data on our behalf.

6. **European Economic Area** includes all countries in the European Union as well as Norway, Iceland and Liechtenstein.
7. **ICO** means the Information Commissioner's Office (the authority which oversees data protection regulation in the UK).
8. **Processing** is any activity that involves use of personal data, whether or not by automated means. It includes but is not limited to:
  - collecting;
  - recording;
  - organising;
  - structuring;
  - storing;
  - adapting or altering;
  - retrieving;
  - disclosing by transmission;
  - disseminating or otherwise making available;
  - alignment or combination;
  - restricting;
  - erasing; or
  - destruction of personal data
9. **Sensitive Personal Data** (which is defined as "special categories of personal data" under the GDPR) includes information about a person's:
  - racial or ethnic origin;
  - political opinions;
  - religious, philosophical or similar beliefs;
  - trade union membership;
  - physical or mental health or condition;
  - sexual life or orientation;
  - genetic data;
  - biometric data; and
  - such other categories of personal data as may be designated as "special categories of personal data" under the Legislation.

## 4. Data protection principles

1. Anyone processing personal data must comply with the six data protection principles set out in the GDPR. We are required to comply with these principles (summarised below), and show that we comply, in respect of any personal data that we deal with as a data controller.
2. Personal data should be:
  - processed fairly, lawfully and transparently;

- collected for specified, explicit and legitimate purposes and not further processed in a way which is incompatible with those purposes;
- adequate, relevant and limited to what is necessary for the purpose for which it is held;
- accurate and, where necessary, kept up to date;
- not kept longer than necessary; and
- processed in a manner that ensures appropriate security of the personal data.

### 5. Processing data fairly and lawfully

1. The first data protection principle requires that personal data is obtained fairly and lawfully and processed for purposes that the data subject has been told about. Processing will only be lawful if certain conditions can be satisfied, including where the data subject has given consent, or where the processing is necessary for one or more specified reasons, such as where it is necessary for the performance of a contract (this is particularly relevant for Forum contacts).
2. To comply with this principle, every time we receive personal data about a person directly from that individual, which we intend to keep, we need to provide that person with **“the fair processing information”**. This is done through our privacy policy, which is in a footer on every page on our website, and is highlighted further on website pages where we are gathering information (such as for job applications). It is appended to this policy. We need to tell them:
  - the type of information we will be collecting (categories of personal data concerned);
  - who will be holding their information, i.e. The Forum for the Future including contact details and the contact details of our Data Protection Officer (if we have one);
  - why we are collecting their information and what we intend to do with it for instance to process donations or send them mailing updates about our activities;
  - the legal basis for collecting their information (for example, are we relying on their consent, or on our legitimate<sup>1</sup> interests or on another legal basis);
  - if we are relying on legitimate interests as a basis for processing what those legitimate interests are;
  - whether the provision of their personal data is part of a statutory or contractual obligation and details of the consequences of the data subject not providing that data;
  - the period for which their personal data will be stored or, where that is not possible, the criteria that will be used to decide that period;
  - details of people or organisations with whom we will be sharing their personal data (this would be very unusual for Forum. Should someone request data of another individual you will need to obtain their consent to pass it on);
  - the fact that we will be transferring their personal data outside the EEA via Salesforce, our CRM and details of relevant safeguards; and
  - the existence of any automated decision-making including profiling in relation to that personal data.
3. Where we obtain personal data about a person from a source other than the person his or her self, we must provide that individual with the following information **in addition to that listed under 5.2 above**:
  - the categories of personal data that we hold; and

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<sup>1</sup> Legitimate interest refers to the stake that the company processing the personal data may have in that processing. The stake must be clear and precise.

- the source of the personal data and whether this is a public source.
  - we must also inform individuals of their rights outlined in section 9 below, including the right to lodge a complaint with the ICO and, the right to withdraw consent to the processing of their personal data.
4. This fair processing information can be provided in a number of places including on web pages, in mailings or on application forms. We must ensure that the fair processing information is concise, transparent, intelligible and easily accessible.

## 6. Processing data for the original purpose

1. The second data protection principle requires that personal data is only processed for the specific, explicit and legitimate purposes that the individual was told about when we first obtained their information.
2. This means that we should not collect personal data for one purpose and then use it for another. If it becomes necessary to process a person's information for a new purpose, the individual should be informed of the new purpose beforehand. For example, if we collect personal data such as a contact number or email address, in order to update a person about our activities it should not then be used for any new purpose, for example to share it with other organisations for marketing purposes, without first getting the individual's consent.

## 7. Personal data should be adequate and accurate

1. The third and fourth data protection principles require that personal data that we keep should be accurate, adequate and relevant. Data should be limited to what is necessary in relation to the purposes for which it is processed. Inaccurate or out-of-date data should be destroyed securely, and we must take every reasonable step to ensure that personal data which is inaccurate is corrected.

## 8. Not retaining data longer than necessary

1. The fifth data protection principle requires that we should not keep personal data for longer than we need to for the purpose it was collected for. This means that the personal data that we hold should be destroyed or erased from our systems when it is no longer needed. If you think that we are holding out-of-date or inaccurate personal data, please speak to the Data Protection Officer using the contact details on the first page of this policy.
2. For guidance on how long particular types of personal data that we collect should be kept before being destroyed or erased, please refer to the record retention guidance for employment records in the Appendix or contact the Data Protection Officer.

## 9. Rights of individuals under the GDPR

1. The GDPR gives people rights in relation to how organisations process their personal data. Everyone who holds personal data on behalf of The Forum for the Future needs to be aware of these rights. They include (but are not limited to) the right:
  - to request a copy of any personal data that we hold about them (as data controller), as well as a description of the type of information that we are processing, the uses that are being made of the information, details of anyone to whom their personal data has been disclosed, and how long the data will be stored (known as subject access rights);
  - to be told, where any information is not collected from the person directly, any available information as to the source of the information;
  - to be told of the existence of automated decision-making;
  - to object to the processing of data where the processing is based on either the conditions of public interest or legitimate interests;
  - to have all personal data erased (the right to be forgotten) unless certain limited conditions apply;
  - to restrict processing where the individual has objected to the processing;

- to have inaccurate data amended or destroyed; and
- to prevent processing that is likely to cause unwarranted substantial damage or distress to themselves or anyone else.

### 10. Data security

1. The sixth data protection principle requires that we keep secure any personal data that we hold.
2. We are required to put in place procedures to keep the personal data that we hold secure, including protection against unauthorised or unlawful processing and against accidental loss, destruction or damage, using appropriate technical or organisational measures.
3. When we are dealing with sensitive personal data, more rigorous security measures are likely to be needed, for instance, if sensitive personal data (such as details of an individual's health, race or sexuality) is held on a memory stick or other portable device it should always be encrypted.
4. When deciding what level of security is needed, your starting point should be to look at whether the information is sensitive or highly confidential and how much damage could be caused if it fell into the wrong hands.
5. The following security procedures and monitoring processes must be followed in relation to all personal data processed by us: encryption of personal data; measures to restore availability and access to data in a timely manner in event of physical or technical incident; process for regularly testing, assessing and evaluating effectiveness of security measures; backing up data (daily back-ups should be taken of all data on the system and data should not be stored on local drives or removable media as these will not be backed up); entry controls (any stranger seen in entry-controlled areas should be reported); staff should ensure that individual monitors do not show confidential information to passers-by and that they log off from their PC when it is left unattended; paper documents should be shredded, memory sticks, CD-ROMs and other media on which personal data is stored should be physically destroyed when they are no longer required; personal data must always be transferred in a secure manner (the degree of security required will depend on the nature of the data - the more sensitive and confidential the data, the more stringent the security measures should be) other measures to ensure confidentiality, integrity, availability and resilience of processing systems; desks and cupboards should be kept locked if they hold confidential information of any kind (personal information is always considered confidential) and staff must keep data secure when travelling or using it outside the offices.

### 11. Transferring data outside the EEA

1. The GDPR requires that when organisations transfer personal data outside the EEA, they take steps to ensure that the data is properly protected. We may transfer personal data outside the EEA in the following circumstances: Forum for the Future is an international charity with offices in London, Singapore, India and New York. All staff have access to the Salesforce database. Salesforce is a CRM system and it holds information that has been freely given to us by contacts after we have explained that we would be holding this. The servers for this database are in London. Staff who work in offices outside the EU must ensure they comply with this policy when processing personal data held on Forum's systems. MailChimp (used for emailing newsletters) have servers in the US, and ADP Freedom (Forum's UK Payroll) have data stored in Data Centre 1 in Alpharetta, Georgia, USA. Disaster Recovery Centre is located in DC2 in Sioux Falls, Dakota, USA. We use large organisations that have undertaken to comply with GDPR.
2. The European Commission has determined that certain countries provide an adequate data protection regime. These countries currently include Andorra, Argentina, Canada, Guernsey, Isle of Man, Israel, New Zealand, Switzerland, Faroe Islands, Jersey and Uruguay, but this list may be updated.
3. As such, personal data may be transferred to people or organisations in these countries without the need to take additional steps beyond those you would take when sharing personal data with any other organisation. In transferring personal data to other countries outside the EEA (which are not on this approved list), it will be necessary to work with an organisation that meets GDPR requirements, enter into an EC-approved agreement, seek the explicit consent of the individual, or rely on one of the other derogations under the GDPR that apply to the transfer of personal data outside the EEA.

4. The EU-US Privacy Shield is an instrument that can be used as a legal basis for transferring personal data to organisations in the US, although specific advice should be sought from the Data Protection Officer before transferring personal data to organisations in the US.
5. For more information, please speak to the Data Protection Officer or seek further legal advice.

### 12. Processing sensitive personal data

1. On some occasions we may collect information about individuals that is defined by the GDPR as special categories of personal data, and special rules will apply to the processing of this data. In this policy we refer to “special categories of personal data” as “sensitive personal data”. The categories of sensitive personal data are set out in the definition in Section 3.9.
2. Purely financial information is not technically defined as sensitive personal data by the GDPR. However, particular care should be taken when processing such data, as the ICO will treat a breach relating to financial data very seriously.
3. In most cases, in order to process sensitive personal data, we must obtain explicit consent from the individuals involved. As with any other type of information we will also have to be absolutely clear with people about how we are going to use their information.
4. It is not always necessary to obtain explicit consent. There are a limited number of other circumstances in which the GDPR permits organisations to process sensitive personal data. If you are concerned that you are processing sensitive personal data and are not able to obtain explicit consent for the processing, please speak to the Data Protection Officer.

### 13. Notification

1. We recognise that whilst there is no obligation for us to make an annual notification to the ICO under the GDPR, we will consult with the ICO where necessary when we are carrying out what may be considered as high risk processing.
2. We will report breaches (other than those which are unlikely to be a risk to individuals) to the ICO where necessary, within 72 hours. We will also notify affected individuals where the breach is likely to result in a high risk to the rights and freedoms of these individuals.

### 14. Monitoring of this policy

1. Incidents of potential non-compliance should be notified to the Data Protection Officer for investigation.

### 15. Procedure and timing of review of this policy

1. This policy is reviewed annually by the COO/HR and approved by the board of trustees to ensure that it is achieving its objectives.

## 16. Appendix one: retention periods

Type of employment record	Format and location	Retention period or recommendation
Job applications and interview records of unsuccessful candidates	Paper or electronic	Six months after notifying unsuccessful candidates
Personnel, training, performance appraisals records	Paper or electronic	While employment continues and up to seven years after employment ceases
Written particulars of employment, contracts of employment, and changes to terms and conditions	Paper or electronic	While employment continues and up to seven years after employment ceases
Working time opt-out forms or other records to show compliance with the Working Time Directive <a href="#">WTR 1998</a>	Paper or electronic, originals are not required by the WTR 1998	Two years from the date on which they were entered into
Annual leave records	Paper or electronic	Seven years or possibly longer if leave can be carried over from year to year
Payroll (PAYE or wage) records. Records such as overtime, bonuses, expenses	Paper or electronic	Not less than three years after the end of the tax year to which they relate. Seven years from the financial year-end in which payments were made.
Maternity records	Paper or electronic	Three years after the end of the tax year in which the maternity pay period ends
Pregnancy risk assessment	Paper or electronic	To be kept on file for at least three years
Current bank details	Paper or electronic	No longer than necessary which would generally be after all relevant payments have been made in respect of the relationship.
Record of advances for season tickets and loans to employees	Paper or electronic	While employment continues and up to seven years after repayment
Death Benefit Nomination and Revocation Forms	Paper or electronic	While employment continues or up to seven years after payment of benefit



Any reportable accident, death or injury in connection with work	Paper or electronic	For at least three years from the date the report was made
Records in relation to hours worked and payments made to workers	Paper or electronic	Three years beginning with the day upon which the pay reference period immediately following that to which they relate ends
Consents for the processing of personal and sensitive data	Paper or electronic	For as long as the data is being processed and up to 6 years afterwards
Immigration checks	Paper or electronic	Two years after the termination of employment
National minimum wage records	Paper or electronic	Three years after the end of the pay reference period following the one that the records cover.
Medical records under the Control of Asbestos at Work Regulations: medical records containing details of employees exposed to asbestos and medical examination certificates		(Medical records) 40 years from the date of the last entry; (Medical examination certificates) four years from the date of issue.